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Date of Deposit: February 7, 2001

Attorney Docket No: 17810-513 (SCI-13)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ART UNIT: 1632
APPLICANTS: Rosemary A. Fricker
SERIAL NO: 09/693,043
FILING DATE: October 20, 2000
FOR : METHODS FOR INDUCING IN VIVO PROLIFERATION AND
MIGRATION OF TRANSPLANTED PROGENITOR CELLS IN THE
BRAIN

Box IDS
Assistant Commissioner for Patents
Washington, D.C. 20231

February 7, 2001
Boston, Massachusetts

TRANSMITTAL LETTER

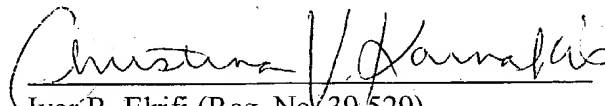
Transmitted herewith for filing in the present application are the following documents:

- ☒ Information Disclosure Statement (3pages);
- ☒ Form PTO-1449 (submitted in duplicate; 2 pages);
- ☒ Copy of the cited references A1-A14, B1-B10, C1-C8 and
- ☒ Return postcard

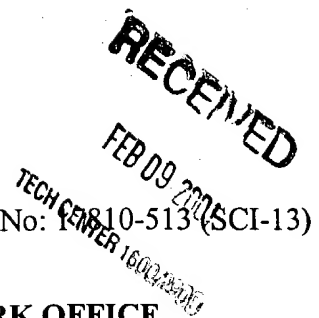
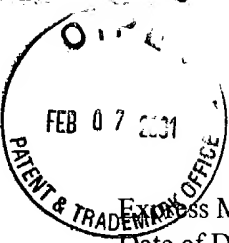
If the enclosed papers are considered incomplete, the Mail Room or the Application Branch is respectfully requested to contact the undersigned at 617 542-6000.

If any additional fees are to be assessed, the Commissioner is hereby authorized to charge the balance due to the undersigned's account, Deposit Account No. 50-0311, Reference 17810-513 (SCI-13). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,



Ivor R. Elrifi (Reg. No. 39,529)
Christina V. Karnakis (Reg. No. 45,899)
Attorneys for Applicants
c/o MINTZ LEVIN, COHN, FERRIS,
GLOVSKY AND POPEO P.C.
One Financial Center
Boston, Massachusetts 02111
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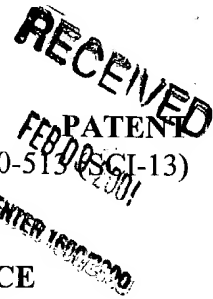
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Foreign Patents:

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| EP | 0 338 841 B1 | Celltech Limited | 10/25/89 |
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Other Documents:

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- ☐ This Information Disclosure Statement is being filed:
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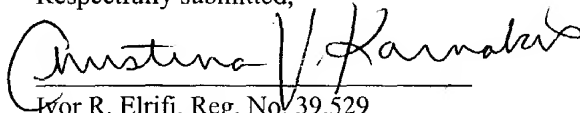
Accordingly, no fee or certification is required. 37 C.F.R. §1.97

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

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Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311 (Reference No. 17810-513 (SCI-13)).

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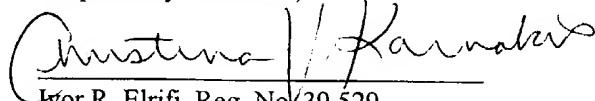
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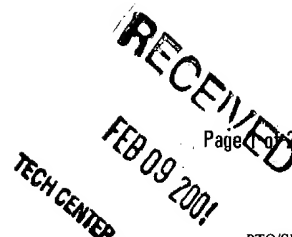
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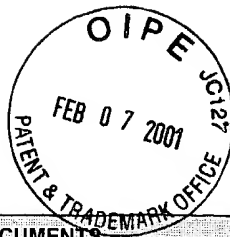
| | | |
|---|-------------------------------|---------------------|
| Modified Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Application Number | 09/693,043 |
| | Filing Date | October 20, 2000 |
| | First Named Inventor | Rosemary A. Fricker |
| | Group Art Unit | 1632 |
| | Examiner Name | |
| | Attorney Docket Number | 17810-513- (SCI-13) |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----------|--------------------------|------------|-------------------------------------|-------|-----------|----------------------------|
| Exam Initials | Cite No. | U.S. Patent Document No. | Issue Date | Name of Patentee(s) or Applicant(s) | Class | Sub Class | Filing Date if Appropriate |
| | A1 | 4,352,883 | 10/5/82 | Lim | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|----------|--------------------------------|--------------|---|---------------------|-----------------|----|
| Exam Initials | Cite No. | Foreign Patent Document Office | Number | Name of Patentee(s) or Applicant(s) | Date of Publication | Translation Yes | No |
| | B1 | EP | 0 338 841 B1 | Celltech Limited | 10/25/89 | | |
| | B2 | WO | 96/31242 | Albert Einstein College of Medicine of Yeshiva University | 10/10/96 | | |
| | B3 | WO | 92/19195 | University Research Foundation | 11/12/92 | | |
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| | B10 | WO | 96/02646 | Cytotherapeutics, Inc. | 2/1/96 | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | |
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| Exam Initials | Cite No. | Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc. |
| | C1 | Cattaneo, et al., Molec. Brain Res.; 42:161-66 (1996). |
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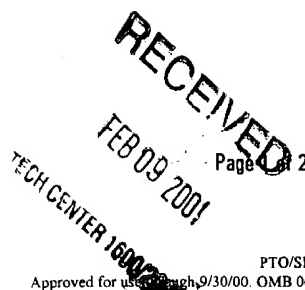
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| Examiner Signature | | Date Considered | |
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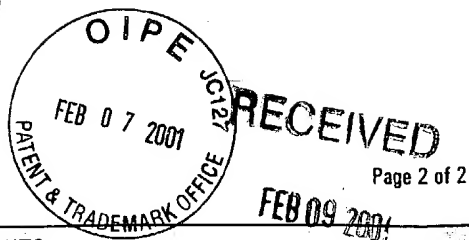
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| | C5 | Kuhn, et al., J. Neurosci., 17:5820-29 (1997). | |
| | C6 | Ahmed, et al., J. Neurosci., 15:5765-78 (1995). | |
| | C7 | Potten and Loeffler, Development, 110:1001-20 (1990). | |
| | C8 | Fricker, et al., J. Neurosci., 19(14): 5990-6005 (1999). | |

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

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| Examiner Signature | | Date Considered | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

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